

# On the ground states of rotating two-component dipolar Bose–Einstein condensates

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## ABSTRACT

In this paper, we focus on the ground states of rotating two-component dipolar Bose–Einstein condensates. To begin with, we investigate the existence and uniqueness of the ground states with rigorous proofs. Then, we construct a spectrally accurate and efficient numerical scheme by integrating the gradient flow with Lagrange multiplier (GFLM) method and the optimal Kernel Truncation method (KTM) for Dipole-Dipole Interaction (DDI) evaluation to compute the ground states. Finally, we confirm the spectral accuracy, and extensive numerical results are presented to study the effects of different model parameters on the ground states, including the mass distribution, short-range interaction strength, angular velocity and anisotropic trapping potential.

## 1. Introduction

First resoundingly realized in dilute alkali gases in 1995 [1], Bose–Einstein condensate (BEC) has triggered a worldwide craze especially in atomic, molecular and optical physics and quantum optics. The study of quantized vortices [2,3] related to the superfluid properties of BEC plays a significant role in contemporary physics, thus the rotating BEC opens up a new research field of quantum many-body systems. Recently, the remarkable achievement of the condensate of <sup>52</sup>Cr gases [4] by Pfau team at Stuttgart University in 2004 demonstrated very well for the anisotropic and long-range magnetic/electric dipole-dipole interaction. The dipolar BEC bringing in unique phenomena has aroused enormous interests and has been extensively studied since then [5–7]. Moreover, there is growing enthusiasm in exploring the multi-component BEC system not just single one.

At temperature  $T$  much smaller than the critical temperature  $T_c$ , the properties of rotating two-component dipolar BECs are well described by the macroscopic complex-valued wave function  $\Psi = (\psi_1(\mathbf{x}, t), \psi_2(\mathbf{x}, t))^T$ , whose evolution is governed by the coupled Gross–Pitaevskii equations (CGPEs) with Dipole-Dipole Interaction (DDI) term. The  $d$ -dimensional ( $d = 2$  or  $3$ ) dimensionless CGPEs with DDI term in a unified way read as Bao and Cai [5], Zhao et al. [8]:

$$i\partial_t \psi_\ell(\mathbf{x}, t) = \left[ -\frac{1}{2}\Delta + V_\ell(\mathbf{x}) - \Omega L_z + \sum_{i=1}^2 \left( \beta_{\ell i} |\psi_i(\mathbf{x}, t)|^2 + \lambda_{\ell i} \varphi_i(\mathbf{x}, t) \right) \right] \psi_\ell(\mathbf{x}, t), \quad t > 0, \quad (1.1)$$

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$$\varphi_\ell(\mathbf{x}, t) := (U_{\text{dip}} * |\psi_\ell|^2)(\mathbf{x}, t) = \int_{\mathbb{R}^d} U_{\text{dip}}(\mathbf{x} - \mathbf{y}) |\psi_\ell(\mathbf{y}, t)|^2 d\mathbf{y}, \quad \mathbf{x} \in \mathbb{R}^d, \quad (1.2)$$

$$\psi_\ell(\mathbf{x}, 0) = \psi_\ell^0(\mathbf{x}), \quad \ell = 1, 2, \quad \mathbf{x} \in \mathbb{R}^d, \quad (1.3)$$

where the nonlocal potential  $\varphi_\ell$  representing the long-range interaction between particles, is defined as the convolution of kernel  $U_{\text{dip}}(\mathbf{x})$  and the density  $\rho_\ell(\mathbf{x}, t) := |\psi_\ell(\mathbf{x}, t)|^2$ .  $\mathbf{x} = (x_1, \dots, x_d)^\top \in \mathbb{R}^d$  ( $d = 2, 3$ ),  $\beta_{\ell i}$  and  $\lambda_{\ell i}$  are real constants which describe the strength of short-range and long-range interaction (positive/negative for repulsive/attractive interaction) respectively, and  $\beta_{12} = \beta_{21}$ ,  $\lambda_{12} = \lambda_{21}$ .  $L_z = -i(x\partial_y - y\partial_x) = -i\partial_\theta$  is the  $z$ -component of the angular momentum and  $\Omega$  characterizes the angular velocity.  $V_\ell(\mathbf{x})$  is the given real-valued external trapping potential determined by the type of system under investigation and one common choice is the harmonic potential, i.e. for  $\ell = 1, 2$ ,

$$V_\ell(\mathbf{x}) = \frac{1}{2} \begin{cases} \gamma_{x,\ell}^2 x^2 + \gamma_{y,\ell}^2 y^2, & d = 2, \\ \gamma_{x,\ell}^2 x^2 + \gamma_{y,\ell}^2 y^2 + \gamma_{z,\ell}^2 z^2, & d = 3, \end{cases} \quad (1.4)$$

with dimensionless constant  $\gamma_{m,\ell}$  representing the trapping frequency in  $m$ -direction ( $m = x, y, z$ ). The wave functions are fast-decaying and smooth under such confining potential, so are the densities. The DDI kernels in (1.2) are given as below [9,10]

$$U_{\text{dip}}(\mathbf{x}) := \begin{cases} -\frac{3}{2}(\partial_{\mathbf{n}_1} \mathbf{n}_1 - n_3^2 \nabla_\perp^2) \frac{1}{2\pi|\mathbf{x}|}, & d = 2, \\ \frac{3}{4\pi|\mathbf{x}|^3} (1 - \frac{3(\mathbf{x}, \mathbf{n})^2}{|\mathbf{x}|^2}), & d = 3. \end{cases} \quad (1.5)$$

Here,  $\mathbf{n} = (n_1, n_2, n_3)^\top$  is an unit vector representing the dipole orientation,  $\partial_{\mathbf{n}} = \mathbf{n} \cdot \nabla$ ,  $\partial_{\mathbf{nn}} = \partial_{\mathbf{n}}(\partial_{\mathbf{n}})$ ,  $\mathbf{n}_\perp = (n_1, n_2)^\top$ ,  $\nabla_\perp = (\partial_x, \partial_y)^\top$ ,  $\partial_{\mathbf{n}_\perp} = \mathbf{n}_\perp \cdot \nabla_\perp$  and  $\partial_{\mathbf{n}_\perp \mathbf{n}_\perp} = \partial_{\mathbf{n}_\perp}(\partial_{\mathbf{n}_\perp})$ . For the above time dependent CGPEs in (1.1)–(1.3), there exist two important conserved quantities: *mass* and *energy*. *Mass* (or normalization) of the wave functions is defined as

$$\mathcal{N}(t) := \|\Psi(\mathbf{x}, t)\|^2 = \mathcal{N}_1(t) + \mathcal{N}_2(t) \equiv \|\Psi(\mathbf{x}, 0)\|^2 = 1, \quad t \geq 0, \quad (1.6)$$

with  $\mathcal{N}_\ell(t)$  ( $\ell = 1, 2$ ) being the mass of each component

$$\begin{cases} \mathcal{N}_1(t) := \int_{\mathbb{R}^d} |\psi_1(\mathbf{x}, t)|^2 d\mathbf{x} \equiv \mathcal{N}_1(0) = \alpha, & t \geq 0, \\ \mathcal{N}_2(t) := \int_{\mathbb{R}^d} |\psi_2(\mathbf{x}, t)|^2 d\mathbf{x} \equiv \mathcal{N}_2(0) = 1 - \alpha, & t \geq 0, \end{cases} \quad (1.7)$$

with a given  $\alpha \in (0, 1)$ . The *energy* per particle is

$$\mathcal{E}(\Psi(\mathbf{x}, t)) := \int_{\mathbb{R}^d} \left[ \sum_{\ell=1}^2 \frac{1}{2} |\nabla \psi_\ell|^2 + V_\ell(\mathbf{x}) |\psi_\ell|^2 - \Omega \psi_\ell^* L_z \psi_\ell + \frac{1}{2} \sum_{\ell=1}^2 \sum_{i=1}^2 (\beta_{\ell i} |\psi_\ell|^2 |\psi_i|^2 + \lambda_{\ell i} \varphi_\ell |\psi_i|^2) \right] d\mathbf{x} \equiv \mathcal{E}(\Psi(\mathbf{x}, 0)), \quad t \geq 0. \quad (1.8)$$

The ground state is defined as the minimizer of the following nonconvex minimization problem

$$\Phi^g(\mathbf{x}) := (\phi_1^g(\mathbf{x}), \phi_2^g(\mathbf{x})) := \underset{\Phi(\mathbf{x}) \in \mathbb{S}_\alpha}{\operatorname{argmin}} \mathcal{E}(\Phi(\mathbf{x})), \quad (1.9)$$

with constraint

$$\mathbb{S}_\alpha := \left\{ (\phi_1(\mathbf{x}), \phi_2(\mathbf{x})) \mid \phi_\ell(\mathbf{x}) \in H^1(\mathbb{R}^d) \cap L^4(\mathbb{R}^d) \cap L_{V_\ell}^2(\mathbb{R}^d), \|\phi_1(\mathbf{x})\|_2^2 = \alpha, \|\phi_2(\mathbf{x})\|_2^2 = 1 - \alpha \right\}, \quad (1.10)$$

where  $L_{V_\ell}^2(\mathbb{R}^d) := \{\phi_\ell(\mathbf{x}) \mid \int_{\mathbb{R}^d} V_\ell(\mathbf{x}) |\phi_\ell(\mathbf{x})|^2 d\mathbf{x} < \infty\}$  is a weighted  $L^2$  space. The ground state is the stationary state with the lowest energy, and satisfy the following nonlinear eigenvalue problem, also named as the Euler–Lagrange equation associated with the energy functional (1.8) under constraint (1.10),

$$\mu_\ell \phi_\ell(\mathbf{x}) = \left[ -\frac{1}{2} \Delta + V_\ell(\mathbf{x}) - \Omega L_z + \sum_{i=1}^2 (\beta_{\ell i} |\phi_i|^2 + \lambda_{\ell i} \varphi_i) \right] \phi_\ell(\mathbf{x}), \quad \ell = 1, 2, \quad (1.11)$$

where  $\phi_\ell(\mathbf{x})$  is a time-independent function,  $\mu_\ell$  is the Lagrange multiplier or chemical potential of the  $\ell$ th component and it can be written as follows

$$\mu_\ell = \frac{1}{\|\phi_\ell\|_2^2} \int_{\mathbb{R}^d} \left[ \frac{1}{2} |\nabla \phi_\ell|^2 + V_\ell |\phi_\ell|^2 - \Omega \phi_\ell^* L_z \phi_\ell + \sum_{i=1}^2 (\beta_{\ell i} |\phi_i|^2 + \lambda_{\ell i} \varphi_i) |\phi_\ell|^2 \right] d\mathbf{x}. \quad (1.12)$$

There have been abundant mathematical and numerical studies on the ground state of the single-component BEC, which are mainly divided into the non-convex constrained optimization based on Gross–Pitaevskii (GP) energy and eigenvalue solvers based on Euler–Lagrange equation. For the former approach, some popular methods are based on proper discretizations of gradient-type flows and their variants [11–15], some other algorithms are based on minimizing the energy functional directly [16–21]. For the latter approach, we refer to Dion and Cancès [22], Wang et al. [23], Xu et al. [24] and references therein. As for the ground states of two-component BECs without DDI term, we refer the readers to Bao and Cai [5], Wang et al. [23], Huang and Yang [25], Wang [26] for more details.

Nevertheless, so far as we know, there are few analytical and numerical literatures on the ground states of two-component BECs with DDI and rotational term. To compute the ground state numerically, the difficulties lie in the accurate and efficient solver for the ground state and long-range DDI evaluation. The gradient flow with Lagrange multiplier (GFLM) method [14] allows for various flexible discretizations and has been successfully applied to multi-component BECs. While, to compute the long-range dipolar potential with great accuracy and efficiency, great challenges arise due to the kernel singularity, convolution nonlocality and density anisotropy, and we refer to Zhang [27] for more details. In fact, there have been several successful fast and accurate solvers proposed based on the Fourier spectral method in the last decade, including the Nonuniform FFT method (NUFFT) [28], Gaussian-sum method (GauSum) [29], Kernel Truncation method (KTM) [30,31] and Anisotropic Truncated Kernel Method (ATKM) [32] etc. Among them, KTM equipped with optimal zero-padding factor [30] seems to be the simplest one to implement, and gains great popularity in the physics community.

In this paper, we choose to adapt GFLM by integrating the optimal KTM to compute DDI, and we prove that a modified energy of the backward/forward Euler discretization scheme is diminishing. Our algorithm is easy to implement and demonstrates excellent performance in both accuracy and efficiency. Overall, the main objectives are threefold:

1. Prove the existence and uniqueness of the ground states.
2. Propose an efficient and accurate algorithm by integrating GFLM method with the optimal KTM to compute the ground states.
3. Confirm the spectral accuracy and investigate the impacts of model parameters on the ground states, including the mass distribution, short-range interaction strength, angular velocity and anisotropic trapping potential.

The rest of the paper is organized as follows: In Section 2, we give an elaborate discussion on the existence and uniqueness of the ground states of two-component dipolar BECs, including the non-rotating case and rotating case, with rigorous proofs. In Section 3, we adapt the gradient flow with Lagrange multiplier (GFLM) method for computing the ground states using the optimal KTM as DDI solver, which achieves spectral accuracy and can be accelerated by FFT with great efficiency. In Section 4, we confirm the spatial spectral accuracy of our algorithm, and explore the ground state patterns under diverse settings of physical parameters in both 2D and 3D. Finally, some concluding remarks are drawn in Section 5.

## 2. Existence and uniqueness of the ground states

In this section, we shall analyze the existence and uniqueness of the ground states for both non-rotating and rotating cases in  $d$ -dimensional spaces.

### 2.1. Non-rotating case

For non-rotating case, i.e.,  $\Omega = 0$ , the total energy  $\mathcal{E}$  is split into three parts

$$\begin{aligned}\mathcal{E}(\Phi(\mathbf{x})) &= \int_{\mathbb{R}^d} \sum_{\ell=1}^2 \frac{1}{2} |\nabla \phi_{\ell}|^2 + V_{\ell}(\mathbf{x}) |\phi_{\ell}|^2 d\mathbf{x} + \int_{\mathbb{R}^d} \sum_{\ell=1}^2 \frac{\beta_{\ell\ell}}{2} |\phi_{\ell}|^4 + \beta_{12} |\phi_1|^2 |\phi_2|^2 d\mathbf{x} + \int_{\mathbb{R}^d} \sum_{\ell=1}^2 \frac{\lambda_{\ell\ell}}{2} \varphi_{\ell} |\phi_{\ell}|^2 + \lambda_{12} \varphi_1 |\phi_2|^2 d\mathbf{x} \\ &:= \mathcal{E}_1(\Phi) + \mathcal{E}_2(\Phi) + \mathcal{E}_3(\Phi).\end{aligned}$$

We introduce four  $2 \times 2$  matrices related to the model parameters  $\beta_{ij}$  and  $\lambda_{ij}$  as follows

$$B = (\beta_{ij}), \quad \Lambda = (\lambda_{ij}), \quad \text{and} \quad A = B - \Lambda = (a_{ij}), \quad \check{A} = B + 2\Lambda = (\check{a}_{ij}). \quad (2.13)$$

To prove the existence of the ground states in non-rotating case, we first prove that the total energy  $\mathcal{E}$  is lower bounded.

**Lemma 1.** *The energy functional  $\mathcal{E} \geq 0$  if one of the following cases holds:*

- ( $H_1$ )  $d = 2$ ,  $n_3 = 0$  and  $B, \Lambda$  are semi-positive definite;
- ( $H_2$ )  $d = 2$ ,  $n_3^2 \geq \frac{1}{2}$  and  $B$  is semi-positive definite,  $\Lambda$  is semi-negative definite;
- ( $H_3$ )  $d = 3$ ,  $A$  and  $\check{A}$  are both semi-positive definite.

**Proof.** It is obvious that  $\mathcal{E}_1 \geq 0$ . For  $d = 2$ , since  $B$  is semi-positive definite, we have  $\mathcal{E}_2 \geq 0$ . For case ( $H_1$ ), applying the Plancherel's formula to  $\mathcal{E}_3$ , we have

$$\mathcal{E}_3(\Phi(\mathbf{x})) = \frac{3}{8\pi^2} \int_{\mathbb{R}^2} \frac{(n_1 \xi_1 + n_2 \xi_2)^2}{|\xi|} \left( \sum_{\ell=1}^2 \frac{\lambda_{\ell\ell}}{2} |\widehat{\phi_{\ell}}|^2 + \lambda_{12} |\widehat{\phi_1}|^2 |\widehat{\phi_2}|^2 \right) d\xi \geq 0. \quad (2.14)$$

For case ( $H_2$ ), from  $|\mathbf{n}_{\perp} \cdot \xi| \leq |\mathbf{n}_{\perp}| |\xi|$  and  $n_1^2 + n_2^2 + n_3^2 = 1$ ,  $n_3^2 \geq \frac{1}{2}$ , we have

$$\begin{aligned}\mathcal{E}_3(\Phi(\mathbf{x})) &= \frac{3}{8\pi^2} \int_{\mathbb{R}^2} \frac{(n_1 \xi_1 + n_2 \xi_2)^2 - n_3^2 |\xi|^2}{|\xi|} \left( \sum_{\ell=1}^2 \frac{\lambda_{\ell\ell}}{2} |\widehat{\phi_{\ell}}|^2 + \lambda_{12} |\widehat{\phi_1}|^2 |\widehat{\phi_2}|^2 \right) d\xi \\ &\geq \frac{3}{8\pi^2} \int_{\mathbb{R}^2} (1 - 2n_3^2) |\xi| \left( \sum_{\ell=1}^2 \frac{\lambda_{\ell\ell}}{2} |\widehat{\phi_{\ell}}|^2 + \lambda_{12} |\widehat{\phi_1}|^2 |\widehat{\phi_2}|^2 \right) d\xi \geq 0.\end{aligned} \quad (2.15)$$

To deal with case  $(H_3)$ , noting that the potential corresponding to  $1/(4\pi|\mathbf{x}|)$  satisfies the following Poisson equation

$$-\Delta f_\ell(\mathbf{x}, t) = \rho_\ell(\mathbf{x}, t), \quad \text{with } \lim_{|\mathbf{x}| \rightarrow \infty} f_\ell(\mathbf{x}, t) = 0, \quad (2.16)$$

applying the Plancherel's formula and integration by parts, we get

$$\begin{aligned} \mathcal{E}_2(\Phi(\mathbf{x})) &= \int_{\mathbb{R}^3} \left[ \sum_{\ell=1}^2 \frac{a_{\ell\ell}}{2} |\Delta f_\ell|^2 + a_{12} \Delta f_1 \Delta f_2 \right] d\mathbf{x} = \frac{1}{16\pi^3} \int_{\mathbb{R}^3} |\xi|^4 \left( \sum_{\ell=1}^2 a_{\ell\ell} |\widehat{f}_\ell|^2 + a_{12} (\widehat{f}_1 \widehat{f}_2 + \widehat{f}_2 \widehat{f}_1) \right) d\xi, \\ \mathcal{E}_3(\Phi(\mathbf{x})) &= \int_{\mathbb{R}^3} \left[ \sum_{\ell=1}^2 \frac{3\lambda_{\ell\ell}}{2} |\partial_{\mathbf{n}} \nabla f_\ell|^2 + 3\lambda_{12} \partial_{\mathbf{n}} \nabla f_1 \cdot \partial_{\mathbf{n}} \nabla f_2 \right] d\mathbf{x}. \end{aligned}$$

Since  $A$  is semi-positive definite and  $|\mathbf{n} \cdot \xi| \leq |\xi|$ , we obtain

$$\begin{aligned} \mathcal{E}_2(\Phi(\mathbf{x})) + \mathcal{E}_3(\Phi(\mathbf{x})) &\geq \frac{1}{16\pi^3} \int_{\mathbb{R}^3} |\mathbf{n} \cdot \xi|^2 |\xi|^2 \left( \sum_{\ell=1}^2 a_{\ell\ell} |\widehat{f}_\ell|^2 + a_{12} (\widehat{f}_1 \widehat{f}_2 + \widehat{f}_2 \widehat{f}_1) \right) d\xi \\ &\quad + \int_{\mathbb{R}^3} \left[ \sum_{\ell=1}^2 \frac{3\lambda_{\ell\ell}}{2} |\partial_{\mathbf{n}} \nabla f_\ell|^2 + 3\lambda_{12} \partial_{\mathbf{n}} \nabla f_1 \cdot \partial_{\mathbf{n}} \nabla f_2 \right] d\mathbf{x} = \int_{\mathbb{R}^3} \left[ \sum_{\ell=1}^2 \frac{\check{a}_{\ell\ell}}{2} |\partial_{\mathbf{n}} \nabla f_\ell|^2 + \check{a}_{12} \partial_{\mathbf{n}} \nabla f_1 \cdot \partial_{\mathbf{n}} \nabla f_2 \right] d\mathbf{x} \geq 0. \end{aligned}$$

The last inequality holds because  $\check{A}$  is semi-positive definite.  $\square$

**Lemma 2.** Based on Lemma 1, the energy functional  $\mathcal{E}(\sqrt{\rho_1}, \sqrt{\rho_2})$  is strictly convex with respect to positive pair  $(\rho_1, \rho_2)$ ,  $(\sqrt{\rho_1}, \sqrt{\rho_2}) \in \mathbb{S}_\alpha$ .

**Proof.** Using Lemma A.1 in Lieb et al. [33], we get the convexity of  $\mathcal{E}_1(\sqrt{\rho_1}, \sqrt{\rho_2})$ . Let  $\Phi_1 = (\sqrt{\rho_1}, \sqrt{\rho_2}) \in \mathbb{S}_\alpha$ , and  $\Phi_2 = (\sqrt{\rho'_1}, \sqrt{\rho'_2}) \in \mathbb{S}_\alpha$ , for any  $r \in (0, 1)$ , it is obvious that

$$\Phi = \left( \sqrt{r\rho_1 + (1-r)\rho'_1}, \sqrt{r\rho_2 + (1-r)\rho'_2} \right) \in \mathbb{S}_\alpha.$$

Then for  $\mathcal{E}_2(\Phi)$ , we get

$$r\mathcal{E}_2(\Phi_1) + (1-r)\mathcal{E}_2(\Phi_2) - \mathcal{E}_2(\Phi) = r(1-r) \int_{\mathbb{R}^d} \left( \sum_{\ell=1}^2 \frac{\beta_{\ell\ell}}{2} (\rho_\ell - \rho'_\ell)^2 + \beta_{12} (\rho_1 - \rho'_1)(\rho_2 - \rho'_2) \right) d\mathbf{x} = r(1-r)\mathcal{E}_2(\rho_1 - \rho'_1, \rho_2 - \rho'_2), \quad (2.17)$$

and similarly for  $\mathcal{E}_3(\Phi)$ , we have

$$\begin{aligned} r\mathcal{E}_3(\Phi_1) + (1-r)\mathcal{E}_3(\Phi_2) - \mathcal{E}_3(\Phi) &= r(1-r) \int_{\mathbb{R}^d} \left( \sum_{\ell=1}^2 \frac{\lambda_{\ell\ell}}{2} (U_{\text{dip}} * (\rho_\ell - \rho'_\ell))(\rho_\ell - \rho'_\ell) + \lambda_{12} (U_{\text{dip}} * (\rho_1 - \rho'_1))(\rho_2 - \rho'_2) \right) d\mathbf{x} \\ &= r(1-r)\mathcal{E}_3(\rho_1 - \rho'_1, \rho_2 - \rho'_2). \end{aligned} \quad (2.18)$$

By similar discussions as shown in Lemma 1, we can prove that  $\mathcal{E}(\sqrt{\rho_1}, \sqrt{\rho_2})$  is strictly convex in  $(\rho_1, \rho_2)$ .  $\square$

**Theorem 1.** Under the same assumption of Lemma 1, the minimizer  $(\phi_1(\mathbf{x}), \phi_2(\mathbf{x}))$  is of the form  $\phi_\ell(\mathbf{x}) = e^{i\theta_\ell} |\phi_\ell(\mathbf{x})|$ , for some constant  $\theta_\ell \in \mathbb{R}$ .

**Proof.** From Lemma 1, there exists a minimizing sequence  $\{\Phi^n = (\phi_1^n, \phi_2^n)\}_{n=0}^\infty \subset \mathbb{S}_\alpha$  and a constant  $C > 0$ , such that

$$\|\nabla \phi_\ell^n\|_2 + \|\phi_\ell^n\|_4 + \int_{\mathbb{R}^d} V_\ell(\mathbf{x}) |\phi_\ell^n|^2 d\mathbf{x} \leq C, \quad n \geq 0, \quad \ell = 1, 2,$$

which implies  $\Phi^n$  belongs to a weakly compact set in  $H^1 \cap L^4 \cap L^2_{V_\ell}$ , therefore, there exists  $\Phi^\infty = (\phi_1^\infty, \phi_2^\infty)$  and a subsequence such that

$$\phi_\ell^n \rightharpoonup \phi_\ell^\infty, \quad \text{in } L^2 \cap L^4 \cap L^2_{V_\ell}, \quad \nabla \phi_\ell^n \rightharpoonup \nabla \phi_\ell^\infty, \quad \text{in } L^2, \quad \ell = 1, 2. \quad (2.19)$$

By the compactness of  $L^2_{V_\ell} \hookrightarrow L^2$ , we have  $\phi_\ell^n \rightarrow \phi_\ell^\infty$  in  $L^2$ , showing  $\|\phi_1^\infty\|_2^2 = \alpha$ ,  $\|\phi_2^\infty\|_2^2 = 1 - \alpha \Rightarrow \Phi^\infty \in \mathbb{S}_\alpha$ .

Then in order to show  $(\phi_1^\infty, \phi_2^\infty)$  is a minimizer, we only need to prove the weakly lower semi-continuity of the total energy  $\mathcal{E}$ . For the dipolar term, we introduce two operators  $K_1$  and  $K_2$

$$K_1(\rho_\ell^n) := \frac{3}{2} (n_3^2 \nabla_1^2 - \partial_{\mathbf{n}_1 \mathbf{n}_1}) [(-\Delta)^{-\frac{1}{2}} \rho_\ell^n], \quad \ell = 1, 2, \quad d = 2, \quad (2.20)$$

$$K_2(\rho_\ell^n) := -\rho_\ell^n - 3\partial_{\mathbf{nn}} [(-\Delta)^{-1} \rho_\ell^n], \quad \ell = 1, 2, \quad d = 3. \quad (2.21)$$

Because the Sobolev norm and the  $L^2_{V_\ell}$  norm are both weakly lower semi-continuous, it is easy to get the weakly lower semi-continuity of  $\mathcal{E}_1$ . Then it remains to prove the weakly lower semi-continuity of  $\mathcal{E}_2$  and  $\mathcal{E}_3$ .

If  $d = 2$ , because of the  $L^2(\mathbb{R}^2)$  convergence and  $H^1(\mathbb{R}^2)$  weak convergence, we have  $\phi_\ell^n \rightarrow \phi_\ell^\infty$  in  $L^p(\mathbb{R}^2)$  as  $n \rightarrow \infty$  for  $1 \leq p < \infty$ , thus  $\mathcal{E}_2(\phi_1^n, \phi_2^n)$  converges to its limit. We know that  $\rho_\ell^n$  belongs to  $W^{1,p}(\mathbb{R}^2)$  for some  $1 < p < 2$ , and  $K_1(\rho_\ell^n) \rightarrow K_1(\rho_\ell^\infty)$  in  $W^{-1,p'}(\mathbb{R}^2)$ , as shown in Lemma 3.2 in Bao et al. [9], then the lower semi-continuity of  $\mathcal{E}_3$  follows.

If  $d = 3$ , owing to  $\phi_\ell^n \rightarrow \phi_\ell^\infty$  in  $L^p(\mathbb{R}^3)$  for  $2 \leq p < 6$ ,  $\mathcal{E}_2(\phi_1^n, \phi_2^n)$  converges to its limit. Noting that  $K_2$  is a  $L^p(\mathbb{R}^3) \rightarrow L^p(\mathbb{R}^3)$  ( $1 < p < \infty$ ) bounded operator [9], then it is easy to prove the convergence of  $\mathcal{E}_3(\phi_1^n, \phi_2^n)$ .

Now we prove the uniqueness of the ground state, which is similar to Lemma A.4 in Lieb et al. [33]. By the well-known fact:  $\|\nabla f\|_2 \geq \|\nabla|f|\|_2$  for any complex function  $f \in H^1$ , we have  $\mathcal{E}_1(\phi_1, \phi_2) \geq \mathcal{E}_1(|\phi_1|, |\phi_2|) \Rightarrow \mathcal{E}(\phi_1, \phi_2) \geq \mathcal{E}(|\phi_1|, |\phi_2|)$ , which implies  $(|\phi_1|, |\phi_2|)$  is also a minimizer. According to Lemma 2,  $(|\phi_1|, |\phi_2|)$  is unique, and  $|\phi_\ell|$  is a solution to  $-\frac{1}{2}\Delta|\phi_\ell| + W_\ell|\phi_\ell| = \mu_\ell|\phi_\ell|$  for some potential  $W_\ell$ , which is independent of  $\phi_1$  and  $\phi_2$ , implying that  $|\phi_\ell|$  is a ground state. Therefore, the minimizer  $(\phi_1(\mathbf{x}), \phi_2(\mathbf{x}))$  is of the form  $\phi_\ell(\mathbf{x}) = e^{i\theta_\ell}|\phi_\ell(\mathbf{x})|$  for some constant  $\theta_\ell \in \mathbb{R}$  [34].  $\square$

Then, we give some conditions under which there are no ground states.

**Theorem 2.** The energy functional  $\mathcal{E}$  is unbounded below on  $\mathbb{S}_a$  if one of the following cases holds:

- (H'\_1)  $d = 2$ ,  $n_3 \neq 0$  and  $\Lambda$  is positive-definite;
- (H'\_2)  $d = 2$ ,  $n_3^2 < \frac{1}{2}$  and  $\Lambda$  is negative-definite;
- (H'\_3)  $d = 3$ ,  $\beta_{11} < 0$ , or  $\beta_{22} < 0$ , or  $\beta_{12} < -\frac{\alpha^2\beta_{11}+(1-\alpha)^2\beta_{22}}{\alpha(1-\alpha)}$ ;
- (H'\_4)  $d = 3$ ,  $a_{11} < 0$ , or  $a_{22} < 0$ , or  $a_{12} < -\frac{\alpha^2a_{11}+(1-\alpha)^2a_{22}}{\alpha(1-\alpha)}$ ;
- (H'\_5)  $d = 3$ ,  $\check{a}_{11} < 0$ , or  $\check{a}_{22} < 0$ , or  $\check{a}_{12} < -\frac{\alpha^2\check{a}_{11}+(1-\alpha)^2\check{a}_{22}}{\alpha(1-\alpha)}$ .

**Proof.** Similar to Bao et al. [9], we only prove the case  $d = 3$  and omit the proof of  $d = 2$  for brevity. Without loss of generality, we assume  $\mathbf{n} = (0, 0, 1)^\top$ , and let

$$\begin{aligned}\phi^{\varepsilon_1, \varepsilon_2}(\mathbf{x}) &= (\pi\varepsilon_1)^{-\frac{1}{2}}(\pi\varepsilon_2)^{-\frac{1}{4}}e^{-\frac{x^2+y^2}{2\varepsilon_1}-\frac{z^2}{2\varepsilon_2}}, \\ \Phi^{\varepsilon_1, \varepsilon_2}(\mathbf{x}) &= (\phi_1^{\varepsilon_1, \varepsilon_2}(\mathbf{x}), \phi_2^{\varepsilon_1, \varepsilon_2}(\mathbf{x})) = (\sqrt{\alpha}\phi^{\varepsilon_1, \varepsilon_2}(\mathbf{x}), \sqrt{1-\alpha}\phi^{\varepsilon_1, \varepsilon_2}(\mathbf{x})), \\ \Phi_1^{\varepsilon_1, \varepsilon_2}(\mathbf{x}) &= (\phi_1^{\varepsilon_1, \varepsilon_2}(\mathbf{x}), \phi_2^{1,1}(\mathbf{x})), \\ \Phi_2^{\varepsilon_1, \varepsilon_2}(\mathbf{x}) &= (\phi_1^{1,1}(\mathbf{x}), \phi_2^{\varepsilon_1, \varepsilon_2}(\mathbf{x})),\end{aligned}\tag{2.22}$$

with two positive parameters  $\varepsilon_1, \varepsilon_2$ . We denote  $\alpha_1 = \alpha$ ,  $\alpha_2 = 1 - \alpha$  and define

$$-\Delta f_\ell^{\varepsilon_1, \varepsilon_2}(\mathbf{x}) = |\phi_\ell^{\varepsilon_1, \varepsilon_2}(\mathbf{x})|^2, \quad \lim_{|\mathbf{x}| \rightarrow \infty} f_\ell^{\varepsilon_1, \varepsilon_2}(\mathbf{x}) = 0, \quad \mathbf{x} \in \mathbb{R}^3, \quad \ell = 1, 2.\tag{2.23}$$

Then it is easy to find that

$$\begin{aligned}\|\partial_{\mathbf{n}} \nabla f_\ell^{\varepsilon_1, \varepsilon_2}\|_2^2 &\rightarrow \begin{cases} 0, & \varepsilon_2/\varepsilon_1 \rightarrow +\infty, \\ \frac{\alpha_\ell^2}{(2\pi)^3 \varepsilon_1 \sqrt{\varepsilon_2}} \int_{\mathbb{R}^3} |\widehat{|\phi^{1,1}|^2}|^2 d\xi = \alpha_\ell^2 \|\phi^{\varepsilon_1, \varepsilon_2}\|_4^4, & \varepsilon_2/\varepsilon_1 \rightarrow 0, \end{cases} \\ \int_{\mathbb{R}^3} \partial_{\mathbf{n}} \nabla f_1^{\varepsilon_1, \varepsilon_2} \cdot \partial_{\mathbf{n}} \nabla f_2^{\varepsilon_1, \varepsilon_2} d\mathbf{x} &\rightarrow \begin{cases} 0, & \varepsilon_2/\varepsilon_1 \rightarrow +\infty, \\ \frac{\alpha(1-\alpha)}{(2\pi)^3 \varepsilon_1 \sqrt{\varepsilon_2}} \int_{\mathbb{R}^3} |\widehat{|\phi^{1,1}|^2}|^2 d\xi = \alpha(1-\alpha) \|\phi^{\varepsilon_1, \varepsilon_2}\|_4^4, & \varepsilon_2/\varepsilon_1 \rightarrow 0, \end{cases}\end{aligned}\tag{2.24}$$

where  $\|\partial_{\mathbf{n}} \nabla f_\ell^{\varepsilon_1, \varepsilon_2}\|_2^2$  and  $\int_{\mathbb{R}^3} \partial_{\mathbf{n}} \nabla f_1^{\varepsilon_1, \varepsilon_2} \cdot \partial_{\mathbf{n}} \nabla f_2^{\varepsilon_1, \varepsilon_2} d\mathbf{x}$  are continuous with respect to  $\varepsilon_2/\varepsilon_1 > 0$ . Then combining with the above equations, we can get real monotone decreasing functions  $\beta(\tau)$  on  $(0, \infty)$ , such that

$$\lim_{\tau \rightarrow 0} \beta(\tau) = 1, \quad \lim_{\tau \rightarrow \infty} \beta(\tau) = 0,\tag{2.25}$$

and

$$\|\partial_{\mathbf{n}} \nabla f_\ell^{\varepsilon_1, \varepsilon_2}\|_2^2 = \beta(\varepsilon_2/\varepsilon_1) \alpha_\ell^2 \|\phi^{\varepsilon_1, \varepsilon_2}\|_4^4, \quad \ell = 1, 2, \quad \int_{\mathbb{R}^3} \partial_{\mathbf{n}} \nabla f_1^{\varepsilon_1, \varepsilon_2} \cdot \partial_{\mathbf{n}} \nabla f_2^{\varepsilon_1, \varepsilon_2} d\mathbf{x} = \beta(\varepsilon_2/\varepsilon_1) \alpha(1-\alpha) \|\phi^{\varepsilon_1, \varepsilon_2}\|_4^4.\tag{2.26}$$

Then we can compute the corresponding energy functional for fixed  $\varepsilon_1 \sqrt{\varepsilon_2}$  as

$$\begin{aligned}\mathcal{E}(\Phi^{\varepsilon_1, \varepsilon_2}(\mathbf{x})) &= \frac{C_1}{\varepsilon_1 \sqrt{\varepsilon_2}} [(a_{11} + 3\beta(\varepsilon_2/\varepsilon_1)\lambda_{11})\alpha^2 + (a_{22} + 3\beta(\varepsilon_2/\varepsilon_1)\lambda_{22})(1-\alpha)^2 + 2(a_{12} + 3\beta(\varepsilon_2/\varepsilon_1)\lambda_{12})\alpha(1-\alpha)] + \frac{C_2}{\varepsilon_1} + \frac{C_3}{\varepsilon_2} + O(1), \\ \mathcal{E}(\Phi_1^{\varepsilon_1, \varepsilon_2}(\mathbf{x})) &= \frac{C_1}{\varepsilon_1 \sqrt{\varepsilon_2}} [(a_{11} + 3\beta(\varepsilon_2/\varepsilon_1)\lambda_{11})\alpha^2] + \frac{C_2}{\varepsilon_1} + \frac{C_3}{\varepsilon_2} + O(1), \\ \mathcal{E}(\Phi_2^{\varepsilon_1, \varepsilon_2}(\mathbf{x})) &= \frac{C_1}{\varepsilon_1 \sqrt{\varepsilon_2}} [(a_{22} + 3\beta(\varepsilon_2/\varepsilon_1)\lambda_{22})(1-\alpha)^2] + \frac{C_2}{\varepsilon_1} + \frac{C_3}{\varepsilon_2} + O(1),\end{aligned}$$

with some positive constant  $C_j > 0$  ( $j = 1, 2, 3$ ) independent of  $\varepsilon_1$  and  $\varepsilon_2$ . Fix  $\frac{\varepsilon_2}{\varepsilon_1} = \tau_1 > 0$ , such that  $\beta(\tau_1) = \frac{1}{3}$ , we can get the following results. If  $\beta_{11} < 0 \Rightarrow a_{11} + 3\beta(\varepsilon_2/\varepsilon_1)\lambda_{11} = \beta_{11} < 0$ , we can easily obtain that

$$\lim_{\varepsilon_1 \sqrt{\varepsilon_2} \rightarrow +\infty} \mathcal{E}(\Phi_1^{\varepsilon_1, \varepsilon_2}(\mathbf{x})) = -\infty.$$

If  $\beta_{22} < 0 \implies a_{22} + 3\beta(\varepsilon_2/\varepsilon_1)\lambda_{22} = \beta_{22} < 0$ , we have

$$\lim_{\varepsilon_1 \sqrt{\varepsilon_2} \rightarrow +\infty} \mathcal{E}(\Phi_2^{\varepsilon_1, \varepsilon_2}(\mathbf{x})) = -\infty.$$

If  $\beta_{12} < -\frac{\alpha^2 \beta_{11} + (1-\alpha)^2 \beta_{22}}{\alpha(1-\alpha)}$ , we have

$$(a_{11} + 3\beta(\varepsilon_2/\varepsilon_1)\lambda_{11})\alpha^2 + (a_{22} + 3\beta(\varepsilon_2/\varepsilon_1)\lambda_{22})(1-\alpha)^2 + 2(a_{12} + 3\beta(\varepsilon_2/\varepsilon_1)\lambda_{12})\alpha(1-\alpha) = \alpha^2 \beta_{11} + (1-\alpha)^2 \beta_{22} + \alpha(1-\alpha)\beta_{12} < 0,$$

which leads to

$$\lim_{\varepsilon_1 \sqrt{\varepsilon_2} \rightarrow +\infty} \mathcal{E}(\Phi^{\varepsilon_1, \varepsilon_2}(\mathbf{x})) = -\infty.$$

Thus the nonexistence of the ground states follows.  $\square$

## 2.2. Rotating case

In this subsection, we make some investigations on the rotating case, i.e.,  $\Omega \neq 0$ . Using Lemma 3.1 in Bao et al. [35], we can easily obtain a similar result as follows.

**Theorem 3.** Suppose that  $V_\ell(\mathbf{x})$  is given in (1.4), and  $|\Omega| < \min\{\gamma_{x,\ell}, \gamma_{y,\ell}\}$ . Then the minimization problem (1.9) has a minimizer  $\Phi^g = (\phi_1^g, \phi_2^g)$  under the assumption of Lemma 1.

**Proof.** Using Young inequality, we have

$$\begin{aligned} \left| \int_{\mathbb{R}^d} -\Omega \phi^* L_z \phi(\mathbf{x}) d\mathbf{x} \right| &\leq \int_{\mathbb{R}^d} [|\Omega| |x \phi^*| |\partial_y \phi| + |\Omega| |y \phi^*| |\partial_x \phi|] d\mathbf{x} \\ &\leq \int_{\mathbb{R}^d} \left[ \frac{|\Omega|^2}{2} (x^2 + y^2) |\phi|^2 + \frac{1}{2} (|\partial_x \phi|^2 + |\partial_y \phi|^2) \right] d\mathbf{x}, \end{aligned}$$

and for the energy functional  $\mathcal{E}$ , we obtain the following inequality

$$\begin{aligned} \int_{\mathbb{R}^d} \left[ \sum_{\ell=1}^2 \left( V_\ell(\mathbf{x}) - \frac{|\Omega|^2}{2} (x^2 + y^2) \right) |\phi_\ell|^2 + \frac{1}{2} \sum_{\ell=1}^2 \sum_{i=1}^2 (\beta_{\ell i} |\phi_\ell|^2 |\phi_i|^2 + \lambda_{\ell i} \varphi_\ell |\phi_i|^2) \right] d\mathbf{x} &\leq \mathcal{E}(\Phi(\mathbf{x})) \\ &\leq \int_{\mathbb{R}^d} \left[ \sum_{\ell=1}^2 \left( |\nabla \phi_\ell|^2 + \left( V_\ell(\mathbf{x}) + \frac{|\Omega|^2}{2} (x^2 + y^2) \right) |\phi_\ell|^2 \right) + \frac{1}{2} \sum_{\ell=1}^2 \sum_{i=1}^2 (\beta_{\ell i} |\phi_\ell|^2 |\phi_i|^2 + \lambda_{\ell i} \varphi_\ell |\phi_i|^2) \right] d\mathbf{x}. \end{aligned}$$

Then the proof is similar to the non-rotating case and we omit the details.  $\square$

## 2.3. Special case

At last, we consider the special case, i.e.,  $\alpha = 0, 1$ , in which (1.1) can be reduced to

$$i\partial_t \psi(\mathbf{x}, t) = \left[ -\frac{1}{2} \Delta + V(\mathbf{x}) - \Omega L_z + \beta |\psi|^2 + \lambda U_{\text{dip}} * |\psi|^2 \right] \psi(\mathbf{x}, t), \quad (2.27)$$

with  $\mathbf{x} \in \mathbb{R}^d, t > 0$ . And the ground state is usually defined as a minimizer  $\phi^g \in S$  such that

$$\mathcal{E}_g := \mathcal{E}(\phi^g) = \min_{\phi \in S} \mathcal{E}(\phi), \quad (2.28)$$

where  $S = \{\phi(\mathbf{x}) | \phi \in H^1(\mathbb{R}^d) \cap L^4(\mathbb{R}^d) \cap L_V^2(\mathbb{R}^d), \|\phi\|_2 = 1\}$ ,  $\mathcal{E}$  is the energy functional of (2.27), i.e.,

$$\mathcal{E}(\phi(\mathbf{x})) = \int_{\mathbb{R}^d} \left[ \frac{1}{2} |\nabla \phi|^2 + V(\mathbf{x}) |\phi|^2 + \frac{\beta}{2} |\phi|^4 + \frac{\lambda}{2} (U_{\text{dip}} * |\phi|^2) |\phi|^2 - \Omega \phi^* L_z \phi \right] d\mathbf{x}.$$

**Remark 2.1.** For case  $\lambda = 0$ , the problem (2.27) can be further reduced to the following rotating BEC problem

$$i\partial_t \psi(\mathbf{x}, t) = \left[ -\frac{1}{2} \Delta + V(\mathbf{x}) - \Omega L_z + \beta |\psi|^2 \right] \psi(\mathbf{x}, t), \quad (2.29)$$

which has been considered in Bao et al. [35].

We have the following theorem with the same analysis in Bao et al. [9].

**Theorem 4.** If  $\alpha = 1$ ,  $|\Omega| < \min\{\gamma_{x,1}, \gamma_{y,1}\}$  (or  $\alpha = 0$ ,  $|\Omega| < \min\{\gamma_{x,2}, \gamma_{y,2}\}$ ), and

- 1)  $d = 2$ ,  $\lambda = 0$ ,  $\beta \geq 0$ ;
- 2)  $d = 2$ ,  $\lambda > 0$ ,  $\beta \geq 0$ , and  $n_3 = 0$ ;
- 3)  $d = 2$ ,  $\lambda < 0$ ,  $\beta \geq 0$ , and  $n_3^2 \geq \frac{1}{2}$ ;
- 4)  $d = 3$ ,  $-\frac{1}{2}\beta \leq \lambda \leq \beta$  with  $\beta \geq 0$ .

Then there exists a minimizer  $\phi^g \in S$  for the minimization problem (2.27) if one of the above conditions holds. Further, (2.27) does not have any minimizer if one of the following cases holds.

- 1)  $d = 2$ ,  $\lambda = 0$ , and  $\beta < 0$ ;
- 2)  $d = 2$ ,  $\lambda > 0$ , and  $n_3 \neq 0$ ;
- 3)  $d = 2$ ,  $\lambda < 0$ , and  $n_3^2 < \frac{1}{2}$ ;
- 4)  $d = 3$ ,  $\beta < 0$ , or  $\beta \geq 0$  and  $\lambda \in (-\infty, -\frac{1}{2}\beta) \cup (\beta, \infty)$ .

### 3. Numerical algorithm

Due to the presence of confining potential, the wave functions decay exponentially fast at the far field, therefore, it is reasonable to truncate the whole space into a rectangular bounded domain, i.e.,  $\mathbf{R}_L = [-L, L]^d \subset \mathbb{R}^d$ , and impose periodic boundary conditions. In this section, we shall first introduce the optimal kernel truncation method to compute the DDI potential, then extend GFLM to the two-component rotating dipolar system.

#### 3.1. Optimal kernel truncation method

To begin with, we can reformulate the dipole potential as follows [36]

$$\varphi(\mathbf{x}) = \begin{cases} \left( \frac{1}{2\pi|\mathbf{x}|} \right) * \left[ -\frac{3}{2}(\partial_{\mathbf{n}_\perp \mathbf{n}_\perp} - n_3^2 \nabla_\perp^2) \rho \right] := \left( \frac{1}{2\pi|\mathbf{x}|} * \tilde{\rho} \right)(\mathbf{x}), & d = 2, \\ -\rho(\mathbf{x}) - \left( \frac{1}{4\pi|\mathbf{x}|} \right) * (3\partial_{\mathbf{nn}} \rho) := -\rho(\mathbf{x}) - \left( \frac{1}{4\pi|\mathbf{x}|} * \tilde{\rho} \right)(\mathbf{x}), & d = 3, \end{cases} \quad (3.1)$$

where  $\tilde{\rho} = [-\frac{3}{2}(\partial_{\mathbf{n}_\perp \mathbf{n}_\perp} - n_3^2 \nabla_\perp^2) \rho]$  in 2D and  $\tilde{\rho} = 3\partial_{\mathbf{nn}} \rho$  in 3D. In practice, the modified density  $\tilde{\rho}$  can be computed by taking derivatives of Fourier spectral series of  $\rho$  on uniform mesh grid with FFT achieving nearly optimal efficiency [29,32]. For presentation simplicity, we omit  $\sim$  with an abuse of notations hereafter in this subsection.

The nonlocal potential can be reformulated as follows

$$\varphi(\mathbf{x}) = \int_{\mathbb{R}^d} U(\mathbf{x} - \mathbf{y}) \rho(\mathbf{y}) d\mathbf{y} = \int_{\mathbf{R}_L} U(\mathbf{x} - \mathbf{y}) \rho(\mathbf{y}) d\mathbf{y} = \int_{\mathbf{x} + \mathbf{R}_L} U(\mathbf{y}) \rho(\mathbf{x} - \mathbf{y}) d\mathbf{y} = \int_{B_G} U(\mathbf{y}) \rho(\mathbf{x} - \mathbf{y}) d\mathbf{y}, \quad \mathbf{x} \in \mathbf{R}_L,$$

where  $B_G$  is a ball centered at the origin with radius  $G := \max_{\mathbf{x}, \mathbf{y} \in \mathbf{R}_L} |\mathbf{x} - \mathbf{y}| = 2\sqrt{d}L$ . To achieve spectral accuracy in  $\varphi$ , we first extend the density  $\rho$  to  $\mathbf{R}_{SL}$  by zero-padding with optimal factor  $S = \lceil \sqrt{d} + 1 \rceil \in \mathbb{Z}^+$  [30] and discretize  $\mathbf{R}_{SL}$  using  $SN$  equispaced grid points in each spatial direction. Then, we approximate the density with finite Fourier series within  $\mathbf{R}_{SL}$  as follows

$$\rho(\mathbf{x}) \approx \rho_N(\mathbf{x}) := \sum_{\mathbf{k} \in \Lambda_{SN}} \hat{\rho}_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{x}}, \quad \mathbf{x} \in \mathbf{R}_{SL},$$

where

$$\hat{\rho}_{\mathbf{k}} = \frac{1}{(SN)^d} \sum_{\mathbf{x}_p \in \mathcal{T}_{SN}} \rho(\mathbf{x}_p) e^{-i\mathbf{k} \cdot \mathbf{x}_p}, \quad \mathbf{k} \in \Lambda_{SN},$$

with  $\Lambda_{SN}$  and  $\mathcal{T}_{SN}$  being the mesh grids in Fourier space and physical space

$$\Lambda_{SN} := \left\{ (k_1, \dots, k_d) \mid k_j = (-SN/2, \dots, SN/2 - 1) \pi / (SL), j = 1, \dots, d \right\}, \quad (3.2)$$

$$\mathcal{T}_{SN} := \left\{ (x_1, \dots, x_d) \mid x_j = (-SN/2, \dots, SN/2 - 1)(2L/N), j = 1, \dots, d \right\}. \quad (3.3)$$

Thus, we obtain

$$\begin{aligned} \varphi(\mathbf{x}) &\approx \int_{B_G} U(\mathbf{y}) \rho_N(\mathbf{x} - \mathbf{y}) d\mathbf{y}, \\ &= \sum_{\mathbf{k} \in \Lambda_{SN}} \left( \int_{B_G} U(\mathbf{y}) e^{-i\mathbf{k} \cdot \mathbf{y}} d\mathbf{y} \right) \hat{\rho}_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{x}}, \\ &:= \sum_{\mathbf{k} \in \Lambda_{SN}} \widehat{U}_G(\mathbf{k}) \hat{\rho}_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{x}}, \end{aligned} \quad (3.4)$$

where  $\widehat{U}_G(\mathbf{k})$ , given below as Fourier transform of the kernel on bounded domain,

$$\widehat{U}_G(\mathbf{k}) := \int_{B_G} U(\mathbf{y}) e^{-i\mathbf{k} \cdot \mathbf{y}} d\mathbf{y}, \quad (3.5)$$

usually admits analytical expressions. The numerical solution achieves spectral accuracy, and all the discrete Fourier transforms can be accelerated by FFT with great efficiency. Furthermore, the discrete potential can be rewritten as a discrete convolution of a tensor and discrete density, and such discrete convolution can be accelerated by FFT involving merely a doubly-padded discrete density once the tensor is precomputed once for all. For more details, we refer the readers to Liu et al. [30], Vico et al. [31].

**Table 1**

The accuracy and computation time (in seconds) of GauSum and KTM.

| $N = 256^3$ | $e_\infty$ | $T_{\text{reg}}(s)$ | $T_{\text{cor}}(s)$ | $T_{\text{tot}}(s)$ |
|-------------|------------|---------------------|---------------------|---------------------|
| GauSum      | 8.8866E-16 | 6.91                | 0.91                | 7.82                |
| KTM         | 5.5648E-16 | –                   | –                   | 6.87                |

**Remark 3.1** (Advantages of KTM over NUFFT [28] and GauSum [29]). The NonUniform FFT (NUFFT) method achieves spectral accuracy for the first time and has a complexity  $\mathcal{O}(N \log N)$  ( $N$  is the total number of the grid points) thanks to NUFFT algorithm [37,38] where the prefactor grows with space dimension, leading to unideal efficiency in high-dimensional problems [39]. While, in GauSum method, the authors utilize a Gaussian-sum approximation of the kernel to split the potential into a regular integral ( $I_{\text{reg}}$ ) and a singular correction integral ( $I_{\text{cor}}$ ) [29]. Compared with existing methods, KTM stands out for its simplicity in implementation and has been widely adopted by the physics community. Given that NUFFT method does not perform as efficiently in three dimension, here, we shall only compare GauSum and KTM in the following example.

**Example 3.1** (Comparison of GauSum and KTM). We consider the 3D Coulomb potential ( $U = 1/(4\pi|\mathbf{x}|)$ ) generated by a radial symmetric density  $\rho(\mathbf{x}) = e^{-|\mathbf{x}|^2}$ , the corresponding Poisson potential could be computed analytically as Exl et al. [29]

$$\varphi(\mathbf{x}) = \frac{\sqrt{\pi}}{4|\mathbf{x}|} \text{Erf}(|\mathbf{x}|),$$

where the error function is defined as  $\text{Erf}(x) = \frac{2}{\sqrt{\pi}} \int_0^x e^{-t^2} dt$ . The numerical errors are measured in relative  $l^\infty$  norm as follows

$$e_\infty := \frac{\|\varphi - \varphi^h\|_\infty}{\|\varphi\|_\infty} = \frac{\max_{\mathbf{x} \in \mathcal{T}_N} |\varphi(\mathbf{x}) - \varphi^h(\mathbf{x})|}{\max_{\mathbf{x} \in \mathcal{T}_N} |\varphi(\mathbf{x})|}.$$

The algorithms were implemented in Fortran and run on a 3.00GH Intel(R) Xeon(R) Gold 6248R CPU with a 36 MB cache in Ubuntu GNU/Linux. Table 1 presents the numerical errors and computation time (measured in seconds) for GauSum and KTM on domain  $[-8, 8]^3$ , where  $T_{\text{reg}}, T_{\text{cor}}$  denote the time spent on evaluation of  $I_{\text{reg}}, I_{\text{cor}}$  for GauSum and  $T_{\text{tot}}$  is the total time. Here, we do not take into account the time spent on the calculation of precomputed Fourier coefficients, which is usually done *once for all* on the same mesh grid and is negligibly small compared with that of multiple potential evaluations.

From Table 1, it can be seen that the execution time of KTM is similar to  $T_{\text{reg}}$  of GauSum, because both implementations utilize a pair of FFT/iFFT on a zero-padded vector of length  $(2N)^3$ . In addition, the correction integral  $T_{\text{cor}}$  of GauSum can be implemented with a pair of FFT/iFFT on a vector of length  $N^3$ . We can conclude that KTM performs better in terms of efficiency.

### 3.2. Gradient flow with lagrange multiplier

The gradient flow with discrete normalization (GFDN) [40], also known as the imaginary time evolution method, is very successful in ground state computation and has been widely adapted to various BECs. In this subsection, we extend a variant, that is, the gradient flow with Lagrange multiplier (GFLM) method [14] that is proved to be effective for multiple constraints (for example, the spinor BEC), to compute the ground states of rotating dipolar two-component BECs by integrating the optimal KTM solver.

Choose a time step  $\tau = \Delta t > 0$  and denote the time series as  $t_n = n\Delta t$ , the continuous GFLM from  $t_n$  to  $t_{n+1}$  reads as follows

$$\frac{\partial}{\partial t} \phi_\ell(\mathbf{x}, t) = \left[ \frac{1}{2} \nabla^2 - V_\ell + \Omega L_z - \sum_{i=1}^2 (\beta_{\ell i} |\phi_i|^2 + \lambda_{\ell i} \varphi_i) \right] \phi_\ell(\mathbf{x}, t) + \mu_\ell^n \phi_\ell(\mathbf{x}, t_n), \quad (3.6)$$

$$\phi_\ell(\mathbf{x}, t_{n+1}) := \phi_\ell(\mathbf{x}, t_{n+1}^+) = \sigma_\ell^{n+1} \phi_\ell(\mathbf{x}, t_{n+1}^-), \quad \mathbf{x} \in \mathbf{R}_L, \quad (3.7)$$

$$\Phi(\mathbf{x}, t = 0) = \Phi^0(\mathbf{x}) = (\phi_1^0(\mathbf{x}), \phi_2^0(\mathbf{x}))^\top, \quad \text{with } \|\phi_1^0(\mathbf{x})\|_2^2 = \alpha, \quad \|\phi_2^0(\mathbf{x})\|_2^2 = 1 - \alpha, \quad (3.8)$$

where  $\mu_\ell^n$  are the chemical potentials associated with the  $\ell$ th component and the projection coefficients  $\sigma_\ell^{n+1}$  are given explicitly as follows

$$\sigma_1^{n+1} = \sqrt{\alpha} / \|\phi_1(\cdot, t_{n+1}^-)\|_2, \quad \sigma_2^{n+1} = \sqrt{1 - \alpha} / \|\phi_2(\cdot, t_{n+1}^-)\|_2, \quad (3.9)$$

so as to satisfy the normalization constraint (1.10). We use backward/forward Euler scheme to discretize (3.6) and (3.7) as follows

$$\frac{\phi_\ell^* - \phi_\ell^n}{\tau} = \left( \frac{1}{2} \Delta - s_\ell^n \right) \phi_\ell^* + \left( s_\ell^n - V_\ell + \Omega L_z - \sum_{i=1}^2 (\beta_{\ell i} |\phi_i^n|^2 + \lambda_{\ell i} \varphi_i^n) \right) \phi_\ell^n + \mu_\ell^n \phi_\ell^n, \quad (3.10)$$

$$\phi_1^{n+1} = \sqrt{\alpha} \phi_1^* / \|\phi_1^*\|_2, \quad \phi_2^{n+1} = \sqrt{1 - \alpha} \phi_2^* / \|\phi_2^*\|_2, \quad (3.11)$$

where  $\varphi_i^n(\mathbf{x}) = (U_{\text{dip}} * |\phi_i^n|^2)(\mathbf{x})$  and  $s_\ell^n$  is the stabilization parameter to be determined in a similar way as shown in Liu and Cai [14], Bao et al. [41]. Actually, the above equation is a linear system of  $\phi_\ell^*$  even without the stabilization term and one can apply preconditioned Krylov subspace solver (BiCGStab for example) [17].

**Remark 3.2.** Even though we are not sure whether the backward/forward Euler discretization of GFLM (3.10) and (3.11) is energy-diminishing [40] for the moment, we manage to derive the following proposition which ensures that GFLM is stable in the sense that the time step  $\tau$  can be chosen arbitrary.

**Proposition 1.** Define a modified energy as

$$\mathcal{E}_n(\Phi) = \sum_{\ell=1}^2 \mathcal{E}_{n,\ell}(\phi_\ell) := \sum_{\ell=1}^2 \int_{\mathbf{R}_L} \left[ \frac{1}{2} |\nabla \phi_\ell|^2 + V_\ell |\phi_\ell|^2 - \Omega \phi_\ell^* L_z \phi_\ell + \sum_{i=1}^2 (\beta_{\ell i} |\phi_i^n|^2 + \lambda_{\ell i} \varphi_i^n) |\phi_\ell|^2 \right] d\mathbf{x}, \quad (3.12)$$

for  $\Phi := (\phi_1, \phi_2)^\top \in \mathbb{S}_\alpha$ . Let  $V_\ell(\mathbf{x}) \in L^\infty(\mathbf{R}_L)$  and  $\phi_\ell^n \in L^\infty(\mathbf{R}_L)$ , suppose that

$$s_\ell^n \geq \frac{1}{2} \max \left\{ 0, \sup_{\mathbf{x} \in \mathbf{R}_L} \left\{ V_\ell(\mathbf{x}) + \sum_{i=1}^2 (\beta_{\ell i} |\phi_i^n|^2 + \lambda_{\ell i} \varphi_i^n) + \frac{\Omega^2}{2} (x^2 + y^2) - \mu_\ell^n \right\} \right\},$$

Then, for any  $\tau \geq 0$ , the backward/forward Euler discretization (3.10) and (3.11) satisfies the modified energy dissipation property:

$$\mathcal{E}_n(\Phi^{n+1}) \leq \mathcal{E}_n(\Phi^n).$$

**Proof.** Multiply both sides of (3.10) by  $\overline{\phi_\ell^* - \phi_\ell^n}$  and integrate them with respect to  $\mathbf{x}$  over  $\mathbf{R}_L$ , we have

$$\begin{aligned} 2 \frac{1 + s_\ell^n \tau}{\tau} \|\phi_\ell^* - \phi_\ell^n\|_2^2 &= \mathcal{E}_{n,\ell}(\phi_\ell^n) - \mathcal{E}_{n,\ell}(\phi_\ell^*) - \frac{1}{2} \|\nabla(\phi_\ell^* - \phi_\ell^n)\|_2^2 - \mu_\ell^n (\|\phi_\ell^n\|_2^2 - \|\phi_\ell^*\|_2^2) \\ &\quad + \int_{\mathbf{R}_L} \left( V_\ell + \sum_{i=1}^2 (\beta_{\ell i} |\phi_i^n|^2 + \lambda_{\ell i} \varphi_i^n) - \Omega L_z - \mu_\ell^n \right) |\phi_\ell^* - \phi_\ell^n|^2 d\mathbf{x} \\ &= -\mathcal{E}_{n,\ell}(\phi_\ell^*) - \frac{1}{2} \|\nabla(\phi_\ell^* - \phi_\ell^n)\|_2^2 + \mu_\ell^n \|\phi_\ell^*\|_2^2 \\ &\quad + \int_{\mathbf{R}_L} \left( V_\ell + \sum_{i=1}^2 (\beta_{\ell i} |\phi_i^n|^2 + \lambda_{\ell i} \varphi_i^n) - \Omega L_z - \mu_\ell^n \right) |\phi_\ell^* - \phi_\ell^n|^2 d\mathbf{x} \leq -\mathcal{E}_{n,\ell}(\phi_\ell^*) + \mu_\ell^n \|\phi_\ell^*\|_2^2 \\ &\quad + \int_{\mathbf{R}_L} \left( V_\ell + \sum_{i=1}^2 (\beta_{\ell i} |\phi_i^n|^2 + \lambda_{\ell i} \varphi_i^n) + \frac{\Omega^2}{2} (x^2 + y^2) - \mu_\ell^n \right) |\phi_\ell^* - \phi_\ell^n|^2 d\mathbf{x} \\ &\leq -\mathcal{E}_{n,\ell}(\phi_\ell^*) + \mu_\ell^n \|\phi_\ell^*\|_2^2 + 2s_\ell^n \|\phi_\ell^* - \phi_\ell^n\|_2^2, \end{aligned}$$

which immediately implies that

$$\mathcal{E}_{n,1}(\phi_1^{n+1}) \leq \alpha \mu_1^n = \mathcal{E}_{n,1}(\phi_1^n), \quad \mathcal{E}_{n,2}(\phi_2^{n+1}) \leq (1 - \alpha) \mu_2^n = \mathcal{E}_{n,2}(\phi_2^n).$$

Here we abuse the notation of  $L^2$  norm as integral over bounded domain  $\mathbf{R}_L$ . Then, we can prove that  $\mathcal{E}_n(\Phi^{n+1}) = \sum_{\ell=1}^2 \mathcal{E}_{n,\ell}(\phi_\ell^{n+1}) \leq \sum_{\ell=1}^2 \mathcal{E}_{n,\ell}(\phi_\ell^n) = \mathcal{E}_n(\Phi^n)$ .  $\square$

To derive the full discrete scheme, we shall first discuss the spatial discretization. Since the wave functions are smooth and decay fast at the far field, we apply Fourier pseudo-spectral method to approximate the wave functions on uniform mesh grid, achieving spectral accuracy, and more importantly, all the computations related to spatial approximation can be accelerated by discrete Fast Fourier transform (FFT) with optimal efficiency. For simplicity, here we only present details for the 2D case and generalization to 3D is straightforward. The computation domain  $\mathbf{R}_L = [-L, L]^2$  is uniformly discretized in each spatial direction with the same mesh size  $h_x = h_y = h = (2L)/N$  where  $N \in 2\mathbb{Z}^+$  is an even positive integer. The mesh grids in Fourier space and physical space are defined similarly to those in (3.2) and (3.3).

Introduce the Fourier basis functions as

$$W_{\mathbf{k}}(\mathbf{x}) = e^{i\mathbf{k} \cdot (\mathbf{x} + L)}, \quad \mathbf{k} := (k_x, k_y) \in \Lambda_N.$$

The Fourier pseudo-spectral approximations of the wave function  $\phi_\ell, \Delta \phi_\ell, L_z \phi_\ell$  at grid point  $\mathbf{x}_p := (x_i, y_j) \in \mathcal{T}_N$  are given as follows

$$\begin{aligned} \phi_\ell(\mathbf{x}_p) &\approx \frac{1}{N^2} \sum_{\mathbf{k} \in \Lambda_N} (\widehat{\phi_\ell})_{\mathbf{k}} W_{\mathbf{k}}(\mathbf{x}_p), \quad (\Delta \phi_\ell)(\mathbf{x}_p) \approx ([\Delta] \phi_\ell)_{ij} := -\frac{1}{N^2} \sum_{\mathbf{k} \in \Lambda_N} |\mathbf{k}|^2 (\widehat{\phi_\ell})_{\mathbf{k}} W_{\mathbf{k}}(\mathbf{x}_p), \\ (L_z \phi_\ell)(\mathbf{x}_p) &\approx ([L_z] \phi_\ell)_{ij} := \frac{1}{N^2} \sum_{\mathbf{k} \in \Lambda_N} (x_i k_y - y_j k_x) (\widehat{\phi_\ell})_{\mathbf{k}} W_{\mathbf{k}}(\mathbf{x}_p), \end{aligned}$$

where the Fourier coefficients  $(\widehat{\phi_\ell})_{\mathbf{k}}$  are defined as

$$(\widehat{\phi_\ell})_{\mathbf{k}} := \sum_{\mathbf{x}_p \in \mathcal{T}_N} \phi_\ell(\mathbf{x}_p) \overline{W_{\mathbf{k}}(\mathbf{x}_p)}, \quad \mathbf{k} \in \Lambda_N.$$

The numerical approximation of  $\phi_\ell(x_i, y_j, t_n)$ ,  $V_\ell(x_i, y_j)$  are denoted by  $\phi_{\ell,ij}^n$  and  $V_{\ell,ij}$  respectively. Then the full discrete scheme reads as

$$\frac{\phi_{\ell,ij}^* - \phi_{\ell,ij}^n}{\tau} = \left( \frac{1}{2} [\Delta] - s_\ell^n \right) \phi_{\ell,ij}^* + \left( s_\ell^n - V_{\ell,ij} + \Omega [L_z] - \sum_{m=1}^2 (\beta_{\ell m} |\phi_{m,ij}^n|^2 + \lambda_{\ell m} \varphi_{m,ij}^n) + \mu_\ell^n \right) \phi_{\ell,ij}^n,$$

and the optimal stabilization parameters  $s_\ell^n$  are chosen numerically as  $s_\ell^n = \frac{1}{2}(b_{\ell,\max}^n + b_{\ell,\min}^n)$  with

$$b_{\ell,\max}^n = \max_{i,j} G_{\ell,ij}^n, \quad b_{\ell,\min}^n = \min_{i,j} G_{\ell,ij}^n, \quad G_{\ell,ij}^n := V_{\ell,ij} + \sum_{m=1}^2 (\beta_{\ell m} |\phi_{m,ij}^n|^2 + \lambda_{\ell m} \varphi_{m,ij}^n).$$

The above equation is actually a linear system for  $\phi_\ell^*$  and can be solved in Fourier space more efficiently. We omit details here for brevity and refer readers to Liu and Cai [14], Bao et al. [41].

#### 4. Numerical results

As shown in the previous section, we combine the GFLM and KTM to compute the ground states, and denote such algorithm as GFLM-KTM. In this section, we shall first confirm the spatial accuracy in both 2D and 3D, then compute the ground states under different parameters, including the mass distribution, short-range interaction strength and angular velocity. In addition, we also study the effect of anisotropic trapping potential on the structure of quantized vortices. Moreover, we investigate the stripe-patterned vortex structures in the two-component system, where one component contains DDI while the other is non-dipolar. Finally, we explore the vortex patterns with different angular velocities in 3D.

Numerically, to compute the ground states, the initial data for each component can be chosen from the following 10 frequently-used types, and the stopping criterion is adopted as follows

$$\|\phi_1^{n+1} - \phi_1^n\|_\infty \leq \varepsilon \quad \& \quad \|\phi_2^{n+1} - \phi_2^n\|_\infty \leq \varepsilon, \quad (4.13)$$

where  $\varepsilon \ll 1$  is the desired tolerance. The resulted one with the lowest energy is then regarded as the ground state:

$$\begin{aligned} (a) \quad \phi_\ell^a(\mathbf{x}) &= c_\ell \pi^{-\frac{d}{2}} e^{-\frac{|\mathbf{x}|^2}{2}}, & (b) \quad \phi_\ell^b(\mathbf{x}) &= c_\ell \frac{(x+iy)\phi_\ell^a(\mathbf{x})}{\|(x+iy)\phi_\ell^a(\mathbf{x})\|}, & (\bar{b}) \quad \phi_\ell^{\bar{b}}(\mathbf{x}) &= \overline{\phi_\ell^b(\mathbf{x})}, \\ (c) \quad \phi_\ell^c(\mathbf{x}) &= c_\ell \frac{\phi_\ell^a(\mathbf{x}) + \phi_\ell^b(\mathbf{x})}{\|\phi_\ell^a(\mathbf{x}) + \phi_\ell^b(\mathbf{x})\|}, & (\bar{c}) \quad \phi_\ell^{\bar{c}}(\mathbf{x}) &= \overline{\phi_\ell^c(\mathbf{x})}, & (d) \quad \phi_\ell^d(\mathbf{x}) &= c_\ell \frac{(1-\Omega)\phi_\ell^a(\mathbf{x}) + \Omega\phi_\ell^b(\mathbf{x})}{\|(1-\Omega)\phi_\ell^a(\mathbf{x}) + \Omega\phi_\ell^b(\mathbf{x})\|}, \\ (\bar{d}) \quad \phi_\ell^{\bar{d}}(\mathbf{x}) &= \overline{\phi_\ell^d(\mathbf{x})}, & (e) \quad \phi_\ell^e(\mathbf{x}) &= c_\ell \frac{\Omega\phi_\ell^a(\mathbf{x}) + (1-\Omega)\phi_\ell^b(\mathbf{x})}{\|\Omega\phi_\ell^a(\mathbf{x}) + (1-\Omega)\phi_\ell^b(\mathbf{x})\|}, & (\bar{e}) \quad \phi_\ell^{\bar{e}}(\mathbf{x}) &= \overline{\phi_\ell^e(\mathbf{x})}, \\ (f) \quad \phi_\ell^f(\mathbf{x}) &= c_\ell \frac{\phi_\ell^{\text{TF}}(\mathbf{x})}{\|\phi_\ell^{\text{TF}}(\mathbf{x})\|}, \end{aligned}$$

where the last one is the Thomas-Fermi approximation, with

$$\phi_\ell^{\text{TF}}(\mathbf{x}) = \begin{cases} \sqrt{\frac{\mu_\ell^{\text{TF}} - V_\ell(\mathbf{x})}{\max(\beta_{\ell\ell}, \beta_{12})}}, & V_\ell(\mathbf{x}) < \mu_\ell^{\text{TF}}, \\ 0, & \text{otherwise,} \end{cases} \quad \mu_\ell^{\text{TF}} = \frac{1}{2} \begin{cases} (4 \max(\beta_{\ell\ell}, \beta_{12})/\pi)^{1/2}, & d = 2, \\ (15 \max(\beta_{\ell\ell}, \beta_{12})/4\pi)^{2/5}, & d = 3, \end{cases}$$

and  $c_1 = \sqrt{\alpha}$ ,  $c_2 = \sqrt{1-\alpha}$ .

In the following numerical tests, unless stated otherwise, we choose the computational domain as  $\mathbf{R}_L = [-16, 16]^d$ , the mesh size in each direction as  $h = 1/4$ , the time step as  $\tau = 0.1$ , and the desired tolerance of the stopping criterion as  $\varepsilon = 10^{-10}$ . We take the same isotropic harmonic trapping potential for each component, i.e.,  $V_1(\mathbf{x}) = V_2(\mathbf{x}) = |\mathbf{x}|^2/2$ , and the dipole orientation  $\mathbf{n} = (1, 0, 0)^\top$ . The algorithm was implemented in Fortran and run on a 3.00 GHz Intel(R) Xeon(R) Gold 6248R CPU with a 36 MB cache in Ubuntu GNU/Linux.

##### 4.1. Accuracy confirmation

For harmonic trapping potential, the energies of the ground state  $\Phi^g := (\phi_1^g, \phi_2^g)$  satisfy the following *virial identity*

$$I(\Phi^g) := 2E_{\text{kin}}(\Phi^g) + dE_{\text{int}}(\Phi^g) - 2E_{\text{pot}}(\Phi^g) + 3E_{\text{dip}}(\Phi^g) = 0, \quad (4.1)$$

which is similar as in the single-component non-rotating BEC [5] and can be used as the benchmark to test the accuracy of our algorithm. The kinetic energy  $E_{\text{kin}}(\Phi^g)$ , interaction energy  $E_{\text{int}}(\Phi^g)$ , potential energy  $E_{\text{pot}}(\Phi^g)$  and dipolar energy  $E_{\text{dip}}(\Phi^g)$  are defined

**Table 2**  
Numerical errors of the ground states in both 2D and 3D.

|    | $h$ | $ \mathcal{E}_g - \mathcal{E}_g^h $ | $ \mu_1 - \mu_1^h $ | $ \mu_2 - \mu_2^h $ | $e_1^h$    | $e_2^h$    | $ I_g^h $  |
|----|-----|-------------------------------------|---------------------|---------------------|------------|------------|------------|
| 2D | 1   | 6.1494E-04                          | 5.4000E-03          | 5.7000E-03          | 3.6000E-03 | 6.9000E-03 | 2.9300E-02 |
|    | 1/2 | 6.9304E-07                          | 3.4110E-06          | 3.5881E-06          | 4.4668E-05 | 8.0687E-05 | 2.8138E-05 |
|    | 1/4 | 9.0594E-14                          | 5.6914E-12          | 5.9934E-12          | 3.7949E-09 | 7.3684E-09 | 1.9971E-11 |
|    | 1/8 | 4.6185E-14                          | 1.0836E-13          | 6.2172E-14          | 1.6418E-12 | 5.3128E-13 | 3.2856E-14 |
| 3D | 1   | 7.2126E-04                          | 2.5000E-03          | 2.5000E-03          | 8.8000E-03 | 8.6000E-03 | 2.0354E-02 |
|    | 1/2 | 6.1388E-07                          | 6.3115E-07          | 6.1437E-07          | 8.4864E-05 | 8.3238E-05 | 1.6160E-05 |
|    | 1/4 | 1.3802E-12                          | 3.1499E-12          | 2.8999E-12          | 9.0462E-09 | 8.8609E-09 | 9.3334E-12 |
|    | 1/8 | 1.2603E-12                          | 1.0836E-13          | 1.3012E-13          | 2.9803E-12 | 2.5302E-12 | 1.1933E-12 |

as follows

$$\begin{aligned}
 E_{\text{kin}}(\Phi^g) &= \frac{1}{2} \int_{\mathbb{R}^d} \sum_{\ell=1}^2 |\nabla \phi_\ell^g|^2 d\mathbf{x}, & E_{\text{int}}(\Phi^g) &= \frac{1}{2} \int_{\mathbb{R}^d} \sum_{\ell=1}^2 \sum_{i=1}^2 \beta_{\ell i} |\phi_\ell^g|^2 |\phi_i^g|^2 d\mathbf{x}, \\
 E_{\text{pot}}(\Phi^g) &= \int_{\mathbb{R}^d} \sum_{\ell=1}^2 V_{\ell'}(\mathbf{x}) |\phi_\ell^g|^2 d\mathbf{x}, & E_{\text{dip}}(\Phi^g) &= \frac{1}{2} \int_{\mathbb{R}^d} \sum_{\ell=1}^2 \sum_{i=1}^2 \lambda_{\ell i} \phi_\ell^g |\phi_i^g|^2 d\mathbf{x}.
 \end{aligned}$$

For simplicity, we denote  $I_g^h$  as the approximation of  $I(\Phi^g)$  obtained with mesh size  $h$ ,  $\mu_\ell := \mu_\ell(\Phi^g)$  as the chemical potentials and  $\mathcal{E}_g := \mathcal{E}(\Phi^g)$  as the ground state energy. The numerical errors of the ground states are measured in relative maximum norm, i.e.,

$$e_\ell^h := \|\phi_\ell - \phi_\ell^h\|_\infty / \|\phi_\ell\|_\infty = \max_{\mathbf{x} \in \mathcal{T}_N} |\phi_\ell(\mathbf{x}) - \phi_\ell^h(\mathbf{x})| / \max_{\mathbf{x} \in \mathcal{T}_N} |\phi_\ell(\mathbf{x})|, \quad (4.2)$$

where  $\phi_\ell, \phi_\ell^h$  are the benchmark solutions, and numerical wave functions that are obtained with mesh size  $h$  respectively.

**Example 4.1.** In this example, we verify the accuracy of our method in both 2D and 3D. To this end, for 2D case, we fix  $\beta_{11} : \beta_{12} : \beta_{22} = 500(1 : 0.94 : 0.97)$ ,  $\Omega = 0.1$ ,  $\alpha = 0.1$ ,  $\lambda_{11} = 1$ ,  $\lambda_{12} = 2$ ,  $\lambda_{22} = 4$ , the dipole axis  $\mathbf{n} = (0, 1, 0)^\top$ , and  $\varepsilon = 10^{-11}$  in (4.13). In 3D, we choose  $\mathbf{R}_L = [-8, 8]^3$ ,  $\beta_{11} : \beta_{12} : \beta_{22} = 100 : 98 : 99$ ,  $\Omega = 0.1$ ,  $\alpha = 0.5$ ,  $\lambda_{11} = \lambda_{12} = \lambda_{22} = 75$ ,  $\varepsilon = 10^{-10}$  and the dipole axis  $\mathbf{n} = (0, 0, 1)^\top$ .

Table 2 presents the spatial errors in both 2D and 3D, from which we can conclude that GFLM-KTM is spectrally accurate in space. The benchmark solutions are obtained with a very small mesh size  $h = 1/16$ . In 2D, ground state energy  $\mathcal{E}_g = 8.3727$ , chemical potentials  $\mu_1 = 12.2977$ ,  $\mu_2 = 12.4845$ . While in 3D, ground state energy  $\mathcal{E}_g = 8.3000$ , chemical potentials  $\mu_1 = 3.5609$ ,  $\mu_2 = 3.5513$ . The numerical chemical potentials and numerical ground state energy are denoted by  $\mu_\ell^h$  and  $\mathcal{E}_g^h$ .

#### 4.2. Effects of different model parameters

In this subsection, we investigate the effects of different model parameters on the structure of ground states for 2D case, focusing on the mass distribution, short-range interaction strength, angular velocity, and anisotropic trapping potential. Unless specified otherwise, in all the figures showed below, the first row represents the density of the first component, while the second row denotes that of the second component.

**Example 4.2 (Mass distribution).** In this example, we study the ground states under different mass distribution parameters  $\alpha$ . To this end, we take  $\beta_{11} : \beta_{12} : \beta_{22} = 350(1 : 0.9 : 1)$ ,  $\Omega = 0.3$ ,  $\lambda_{11} = \lambda_{12} = \lambda_{22} = 125$ .

Fig. 1 shows the contour plots of the density  $|\phi_\ell^g|^2$  with varied  $\alpha = 0.2, 0.3, 0.4, 0.5$ . It is clear to see that: (i) For the component with smaller mass, the distance of two density peaks decreases as  $\alpha$  increases. (ii) If the two components have equal mass, the vortex numbers of both components are identical.

**Example 4.3 (Short-range interaction strength & angular velocity).** Here, we compute the ground states under different ratios of short-range interaction strength parameters  $\beta_{11}, \beta_{12}$  and  $\beta_{22}$ , and observe the vortex patterns with various angular velocities. In our simulations, we choose  $\lambda_{11} = \lambda_{12} = \lambda_{22} = 100$ ,  $\alpha = 0.5$  and different  $\Omega = 0.1, 0.15, 0.25, 0.3$ . The following two cases are considered

- **Case I :** Fix  $\beta_{11} : \beta_{12} : \beta_{22} = 575(1 : 0.96 : 0.97)$ , vary  $\Omega = 0.1, 0.15, 0.25, 0.3$ .
- **Case II :** Fix  $\beta_{11} : \beta_{12} : \beta_{22} = 575(1 : 0.95 : 1)$ , vary  $\Omega = 0.1, 0.15, 0.25, 0.3$ .

Figs. 2 and 3 depict the contour plots of the density  $|\phi_\ell^g|^2$  under different ratios of  $\beta_{11}, \beta_{12}$  and  $\beta_{22}$ , and various  $\Omega$ . From these figures, we can know that: (i) For the component with stronger intra-component short-range interaction, the vortex number increases as angular velocity increases (cf. Fig. 2). (ii) If the two components have the same intra-component short-range interaction strength, the vortex numbers of both components are identical when  $\Omega = 0.25$  and  $0.3$  (cf. Fig. 3). (iii) The total number of vortices in the two-component system increases as angular velocity rises (cf. Figs. 2 and 3).

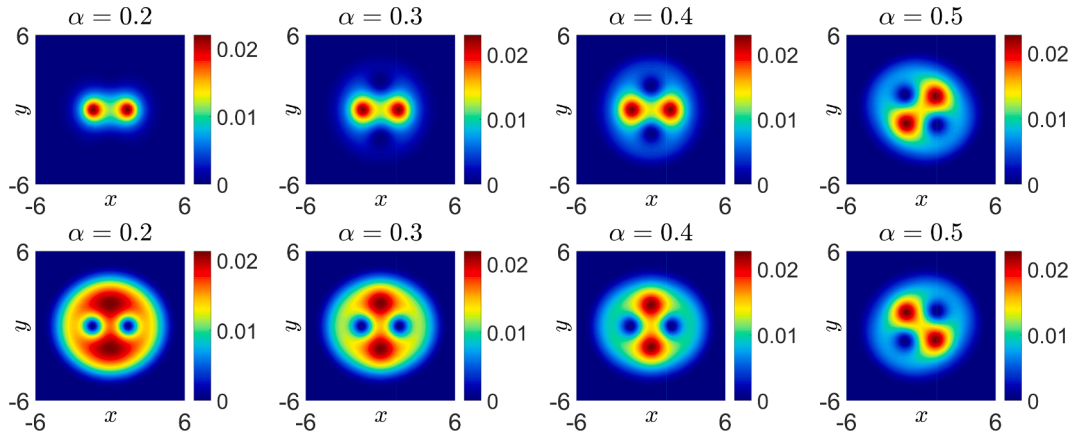


Fig. 1. Contour plots of the density  $|\phi_\epsilon^s|^2$  with  $\alpha = 0.2, 0.3, 0.4, 0.5$  (left to right) in Example 4.2.

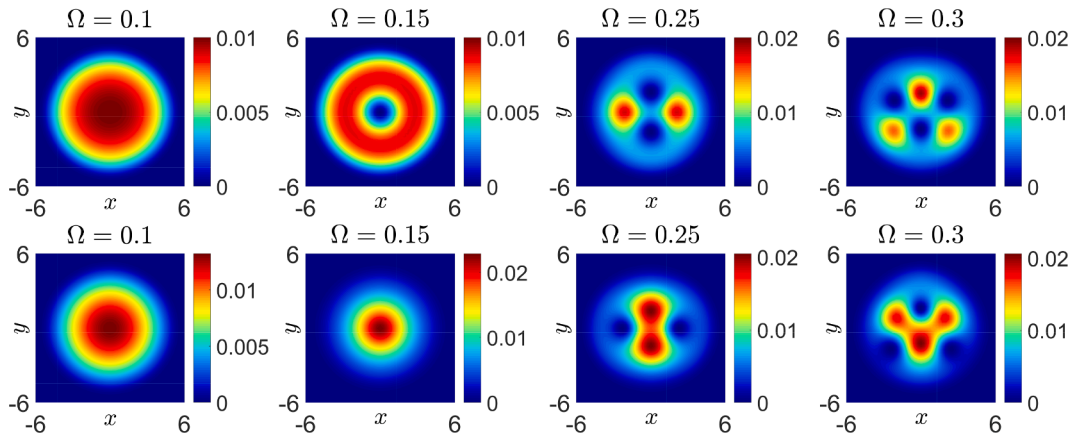


Fig. 2. Contour plots of the density  $|\phi_\epsilon^s|^2$  with  $\Omega = 0.1, 0.15, 0.25, 0.3$  (left to right) for Case I of Example 4.3.

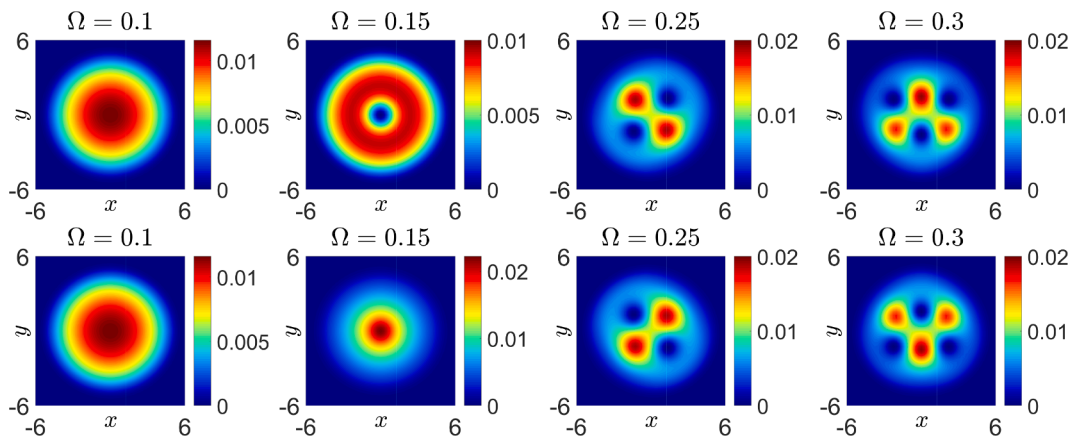


Fig. 3. Contour plots of the density  $|\phi_\epsilon^s|^2$  with  $\Omega = 0.1, 0.15, 0.25, 0.3$  (left to right) for Case II of Example 4.3.

**Example 4.4 (Anisotropic potential).** In this example, we take anisotropic potential to investigate the vortex structures in ground states. To this end, we consider  $V_1(\mathbf{x}) = V_2(\mathbf{x}) = \frac{1}{2}(\gamma_x^2 x^2 + y^2)$  and choose  $\gamma_x$  as 0.46, 0.5, 0.7 respectively compared with  $\gamma_x = 1$ . We set  $\Omega = 0.3$ ,  $\alpha = 0.5$ ,  $\beta_{11} = \beta_{22} = 500$ ,  $\beta_{12} = 490$ ,  $\lambda_{ij} = 100$ , and the dipole axis  $\mathbf{n} = (0, 1, 0)^T$ .

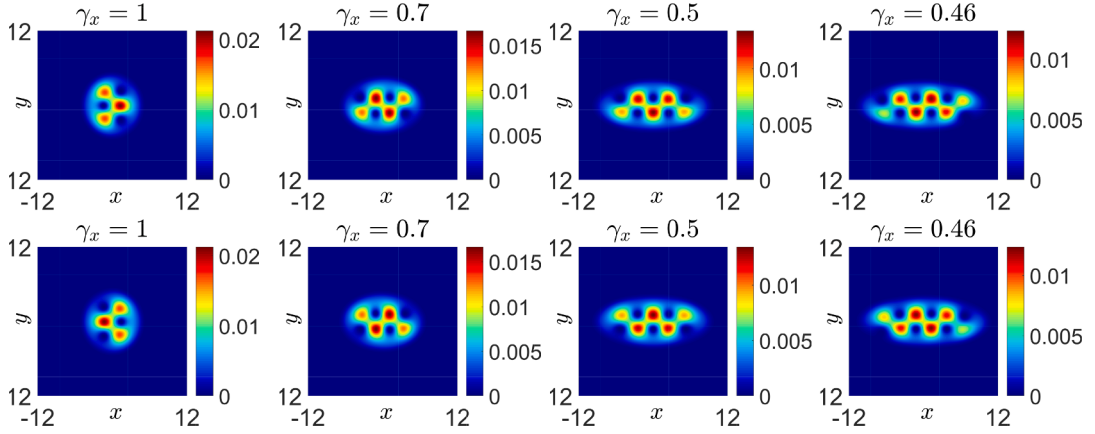


Fig. 4. Contour plots of the density  $|\phi_\epsilon^g|^2$  with  $\gamma_x = 1, 0.7, 0.5, 0.46$  (left to right) in Example 4.4.

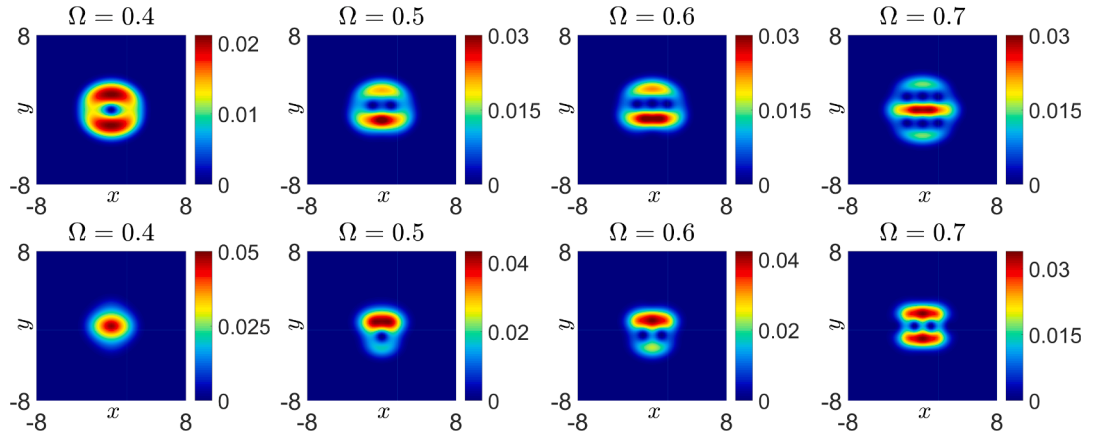


Fig. 5. Contour plots of the density  $|\phi_\epsilon^g|^2$  with  $\Omega = 0.4, 0.5, 0.6, 0.7$  (left to right) in Example 4.5.

Fig. 4 illustrates the contour plots of the density  $|\phi_\epsilon^g|^2$  with different  $\gamma_x$ . From it, it is easy to see that as  $\gamma_x$  decreases, the density of each component extends further along the  $x$ -direction, showing stronger anisotropy strength, and also the vortex number of each component increases.

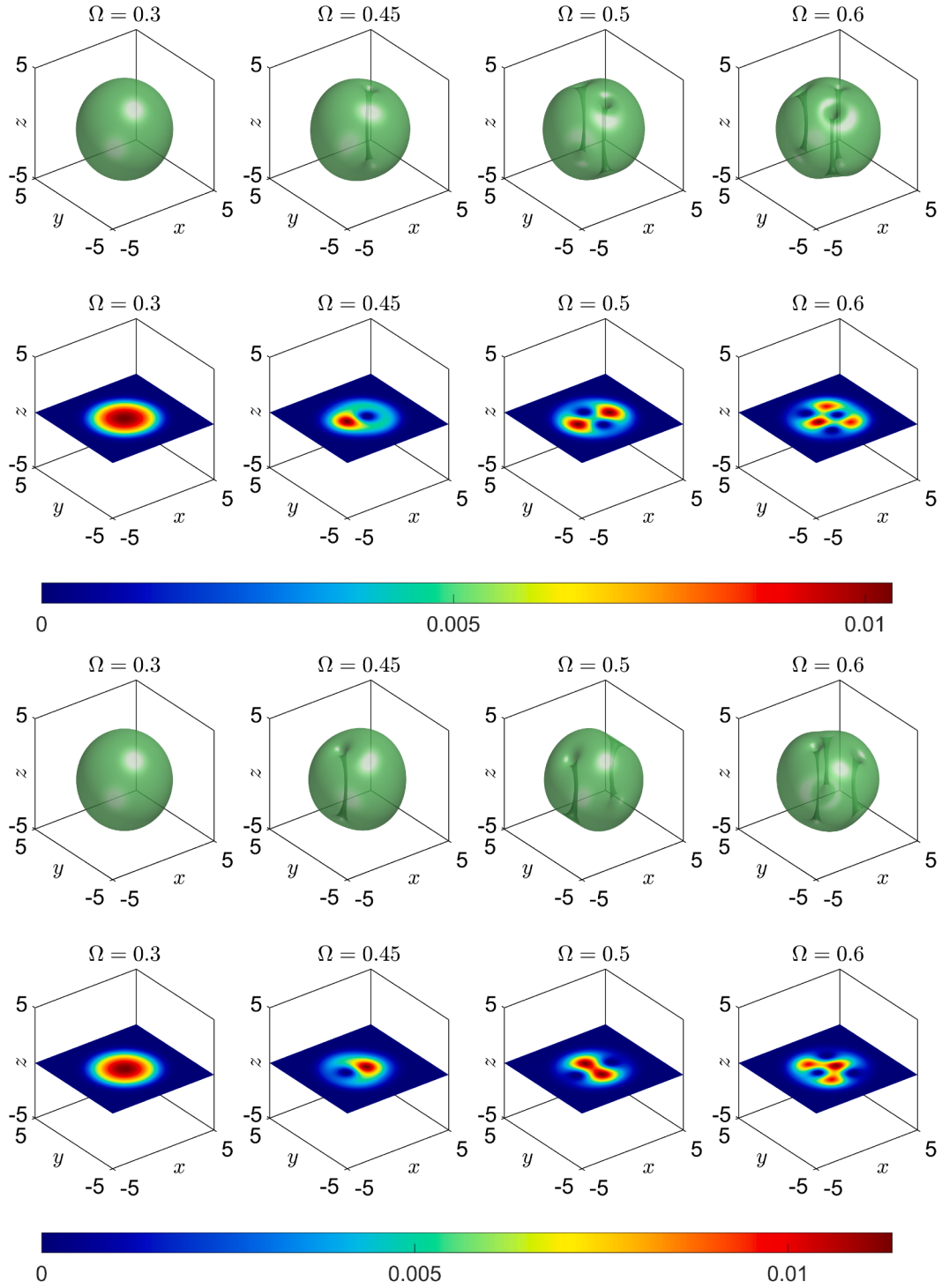
**Example 4.5** (Stripe vortex structure). In this example, we investigate the stripe pattern of the vortex structures in ground states for 2D case. To this end, we set  $\alpha = 0.5$ ,  $\beta_{11} = \beta_{12} = \beta_{22} = 110$ ,  $\lambda_{11} = 99$ ,  $\lambda_{12} = \lambda_{22} = 0$ , and vary  $\Omega = 0.4, 0.5, 0.6, 0.7$ .

Fig. 5 shows the contour plots of the density  $|\phi_\epsilon^g|^2$  with different  $\Omega$ . From this picture, we observe that the vortices in one component localize within the density domains of the other component. These vortices align linearly, forming stripe-patterned density distributions consistent with the physical phenomena [42]. In addition, we can find that the numbers of vortices in both components (with and without DDI) increase with increasing angular velocity.

**Example 4.6** (The ground states in 3D). Here, we compute the ground states under different angular velocities in 3D. To this end, we take  $\mathbf{R}_L = [-8, 8]^3$ ,  $h = 1/8$ ,  $\alpha = 0.5$ ,  $\beta_{11} : \beta_{12} : \beta_{22} = (500 : 470 : 485)$ ,  $\lambda_{ij} = 100$ , the dipole axis  $\mathbf{n} = (0, 0, 1)^T$ , and  $\Omega = 0.3, 0.45, 0.5, 0.6$ .

Fig. 6 shows the isosurface  $|\phi_\epsilon^g|^2 = 10^{-4}$  and the corresponding slice plots of  $|\phi_\epsilon^g|^2$  perpendicular to rotation  $z$ -axis. From this figure, we can conclude that: (i) The vortex lines of each component extend towards the rotation  $z$ -axis generally. (ii) If there is only one vortex line in each component, the vortex line is not located in the center of the  $xy$ -plane. (iii) The number of vortex lines in each component increases as angular velocity rises.

**Remark 4.1.** For each example, we tried at least  $10 \times 10 = 100$  combinations of initial guess to compute the ground states numerically. And we find that it is more likely to obtain the ground states by using different types of initial guess for the two components. In fact, for symmetric parameters, i.e.,  $\beta_{11} = \beta_{22}$ ,  $\lambda_{11} = \lambda_{22}$ ,  $\alpha = 0.5$ , the density of each component can interchange without affecting the energy.



**Fig. 6.** Isosurface of  $|\phi_\epsilon^k|^2 = 10^{-4}$  (the first and the third row respectively) and slice of  $|\phi_\epsilon^k|^2$  perpendicular to the rotation  $z$ -axis (the second and the fourth row respectively) in Example 4.6.

## 5. Conclusion

In this paper, we studied the existence and uniqueness of the ground states of two-component dipolar BECs for both non-rotating and rotating cases. Then, to compute the ground states, we integrated the gradient flow with Lagrange multiplier (GFLM) method with the optimal kernel truncation method (KTM) for DDI evaluation, which is implemented purely by the discrete Fast Fourier Transform achieving nearly optimal efficiency. Our algorithm is spectrally accurate in space, efficient, and easy to implement. Lastly, we investigated the structure of ground states under different model parameters, including the mass distribution, short-range interaction strength, angular velocity, and anisotropic trapping potential. We present extensive numerical examples in both 2D and 3D to support our exploration. Indeed, our algorithm is easy to extend to other relevant problems and provides a powerful tool for investigating the ground state structures of multi-component rotating dipolar BECs.

## CRedit authorship contribution statement

**Wenlei Li:** Writing – original draft, Resources, Methodology, Investigation, Formal analysis; **Qinglin Tang:** Writing – review & editing, Visualization, Supervision, Resources, Methodology, Formal analysis, Conceptualization; **Jing Wang:** Writing – review & editing, Writing – original draft, Resources, Methodology, Investigation, Formal analysis, Conceptualization; **Yong Zhang:** Writing – review & editing, Visualization, Validation, Supervision, Software, Resources, Project administration, Methodology, Investigation, Funding acquisition, Formal analysis, Data curation, Conceptualization; **Ruijie Zou:** Writing – review & editing, Writing – original draft, Visualization, Validation, Software, Methodology, Investigation, Formal analysis, Data curation.

## Data availability

Data will be made available on request.

## Declaration of competing interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Qinglin Tang reports financial support was provided by the National Natural Science Foundation of China. Qinglin Tang reports financial support was provided by the Natural Science Foundation of Sichuan Province. Qinglin Tang reports financial support was provided by the Institutional Research Fund from Sichuan University. Wenlei Li reports financial support was provided by the National Natural Science Foundation of China. Yong Zhang reports financial support was provided by the National Natural Science Foundation of China. Ruijie Zou reports financial support was provided by the National Natural Science Foundation of China. If there are other authors, they declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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